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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

THE COMMISSIONER OF PATENTS AND TRADEMARKS
Alexandria VA 22313-140

In re the application of: Richard B. Pitbladdo
For: SHEET GLASS FORMING APPARATUS
Filed: 04/16/04
Application No.: 10/826,097
Art Unit: 1731
Attorney Docket No.: PIT-11

INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this information Disclosure Statement

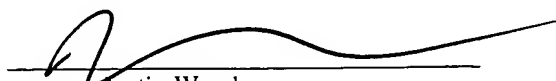
1. [X] Preliminary Statements
2. [X] FORM PTO - 1449 (Modified)
3. ☐ Statement As To Information Material To Examination Not Found in Patents or Publications
4. ☐ Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted.
5. ☐ Cumulative patents or Publications
6. [X] Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items.
8. ☐ Translation(s) of Non-English Language Documents
9. ☐ Certification under MPEP 609(e)
10. [X] Identification of Person(s) Making This Information Disclosure Statement

CERTIFICATE OF MAILING

[X] Certified Mail No: 7004 0750 0003 0307 2974

Date: December 8, 2004

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Justin Wood

Section 1. Preliminary statements

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.56(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Form PTO - 1449 (Modified) (SEE ATTACHMENT)

*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance or not considered. Include a copy of this form with the next communication to applicant.

Section 3. Statement As To Information Material For Examination Not Found in Patents or Publications (Information not listed in PTO 1449)

Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted

Section 5. Cumulative Patents or Publications

☐ Item(s)

are cumulative of the following patents or publication listed on Form PTO 1449 (modified):

In accordance with 37 CFR 1.98(c) a copy of _____ is being submitted with this information disclosure statement.

Section 6. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed accompany this information statement.

☐ Exception(s) to above:

☐ Items in prior application from which an earlier filing date is claimed for this application as identified in Section 4.

☐ Cumulative patents or publications identified in Section 5.

Section 7. Concise Explanation of Non-English Language Listed Information Items

Section 8. Translation(s) of Non-English Language Documents

☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.

☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

Section 9. Certification under Rule 1.97

☐ The undersigned hereby certifies that:

a. This Statement is being filed after the latest of (1) three months after the filing date of a national application; (2) three months after the date of entry of the national stage as set forth in w 1.491 in an international application; (3) the mailing date of a first Office action on the merits.

b. The fee set forth in §1.17(p)

☐ Is being paid with this Information Disclosure Statement

☐ Is not due because:

☐ (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or

☐ (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.

**Section 10. IDENTIFICATION OF PERSON(S) MAKING THIS
INFORMATION DISCLOSURE STATEMENT**

The person making this statement is

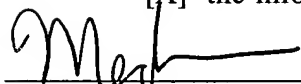
(a) ☐ the inventor(s) who signs below

(b) ☒ the attorney who signs below on the basis of:

☐ the information supplied by the inventor(s)

☐ an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)).

☒ the information in the attorney's file



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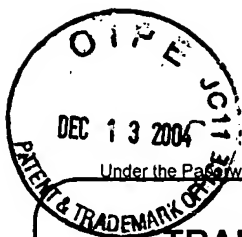
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/826,097	
	Filing Date	04/16/04	
	First Named Inventor	Richard B. Pitbladdo	
	Art Unit	1731	
	Examiner Name		
Total Number of Pages in This Submission	6	Attorney Docket Number	PIT-11

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
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<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	BROWN & MICHAELS, PC	
Signature		
Printed name	Meghan A. Van Leeuwen	
Date	12/9/04	Reg. No. 45,612

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature		
Typed or printed name	Justin Wood	Date 12/9/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Section 2. Form PTO - 1449 (Modified) (ATTACHMENT)

FORM PTO-1449 U.S. DEPT. OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. PIT-11	SERIAL NO. 10/826,097
	APPLICANT Richard B. Pitbladdo	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE 04/16/04	GROUP 1731

DEC 13 2004

U.S. PATENT DOCUMENTS

Exam Initial	DOCUMENT NUMBER	DATE	PATENTEE	CLASS	SUB	FILING DATE IF APPROPR
	1,697,227	01/01/29	Danner			
	1,731,260	10/15/29	Nobbe			
	1,759,229	02/01/28	Drake			
	1,829,639	10/27/31	Ferngren			
	3,338,696	08/28/67	Dockerty, S.	65	145	
	3,437,470	04/08/69	Overman	65	195	
	3,451,798	06/24/69	Simon, R.	65	199	
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	3,519,411	07/07/70	Cortright et al.	65	90	
	3,589,887	06/29/71	Ward	65	195	
	3,607,182	09/02/71	Leibowitz	65	53	
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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Exam Initial	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB	TRANSLATION YES NO
	982153	02/03/1965	Great Britain	C 03 b.		
	09110443	04/28/1997	Japan	C03b	17/06	
	10291827	11/04/1998	Japan	CO3b	17/06	
	11-246230	09/14/1999	Japan			Abstract
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		DE 1,596,403	12/20/1967	German			Corresponding US 3,519,411
		DE 1,596,402	12/19/1967	German			Corresponding GB 1,200,401
		DE 43 36 046	10/22/1993	German	C03B 7/098		Abstract
		DE 43 26 143	12/09/1993	German	C03B5/18		Abstract
		DE 100 21 013	11/08/2001	German	C03B17/06		Abstract
		DE 196 44 673	04/30/1998	German	C03B7/086		Abstract
		DE 198 09 878	09/09/1999	German	C03B7/086		Abstract
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		DE 100 28 741	12/13/2001	German	C03C3/091		Abstract
		DE 31 11484 A1	02/24/1983	German	C03B37/09		Abstract
		DE 31 11484 C2	12/22/1983	German	C03B37/09		Abstract
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	Varshneya, Arun, "Fundamentals of Inorganic Glasses", Academic Press, Inc., 1994, pp.534-540.
	Snyder, R.D./Byars, E.F., "Engineering Mechanics", McGraw-Hill Book Company, 1973, pp.349 - 350.
	Kingery, W.D.; Bowen, H.K.; Uhlmann, D.R., "Introduction to Ceramics", John Wiley & Sons, 1976, pp. 705-767
	Bottger, et al.; "LCD substrate - the future process" International Glass Review, Issue 2 - 2000; 3 pages.
	Bocko, Peter L. and Mitchell, Mark H. "AMLCD Glass Substrates - Foundation for High-Tech Displays" The GlassResearcher, Vol. 12, No. 1, Pages 26-29, 2002
EXAMINER	DATE CONSIDERED